

# Arithmetic aspects of growth rates of hyperbolic Coxeter groups

Complex Hyperbolic Geometry and Related Topics

January 10th, Okayama University of Science

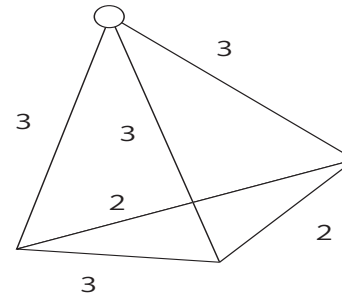
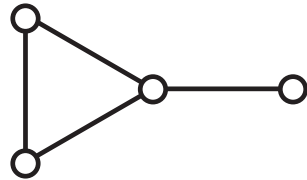
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## 1. Growth rates of hyperbolic Coxeter groups

Hyperbolic Coxeter polyhedron  $P = \cap_{i=1}^k H_i^- \subset \mathbb{H}^n$   
all dihedral angles are  $\pi/n$ , ( $n \in \mathbf{N} \cup \{\infty\}$ )  
 $P$  is represented by its Coxeter diagram



- ω Geometric Coxeter group  $(W, S)$   
 $S = \{r_1, r_2, \dots, r_k\}$ ,  $W = \langle r_1, r_2, \dots, r_k \rangle$

## Growth function of $(W, S)$

$$f_S(t) = \sum_{k \geq 0} a_k t^k = 1 + \#St + \dots$$

where  $a_k = \#\{g \in W \mid \ell_S(g) = k\}$

The growth rate of  $(W, S)$ :  $\tau := \limsup_{k \rightarrow \infty} \sqrt[k]{a_k}$   
 $= 1/R$  ( $R$ : the radius of convergence of  $f_S(t)$ )

$\tau > 1$  i.e. of exponential growth (de la Harpe 87?)

Theorem (Steinberg 68)

Let us denote by  $(W_T, T)$  the Coxeter subgroup of  $(W, S)$  generated by the subset  $T \subseteq S$ , and let its growth function be  $f_T(t)$ . Set  $\mathcal{F} = \{T \subseteq S : W_T \text{ is finite}\}$ . Then

$$\frac{1}{f_S(t^{-1})} = \sum_{T \in \mathcal{F}} \frac{(-1)^{|T|}}{f_T(t)}.$$

Theorem (Solomon 66)

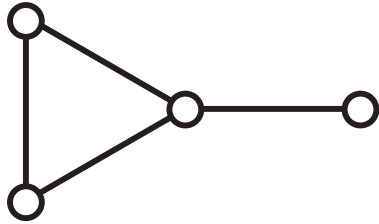
The growth function  $f_S(t)$  of an irreducible finite Coxeter group  $(G, S)$  can be written as  $f_S(t) = \prod_{i=1}^k [m_i + 1]$  where  $[n] := 1 + t + \dots + t^{n-1}$  and  $\{m_1, m_2, \dots, m_k\}$  is the set of exponents of  $(G, S)$ .

$$\frac{1}{f_S(t^{-1})} = \tilde{Q}(t)/\tilde{P}(t) \Rightarrow f_S(t) = P(t)/Q(t)$$

where  $P(t) = t^n \tilde{P}(t)$ ,  $Q(t) = t^n \tilde{Q}(t)$ .

Hence  $R = 1/\tau$  is the smallest positive root of  $Q(t)$ .

Since  $\tilde{Q}(t)$  is monic,  $\tau > 1$  is an algebraic integer.



| type of subgroup | growth function | number |
|------------------|-----------------|--------|
| $A_3$            | $[2, 3, 4]$     | 2      |
| $A_2 \times A_1$ | $[2, 2, 3]$     | 1      |
| $A_2$            | $[2, 3]$        | 4      |
| $A_1 \times A_1$ | $[2, 2]$        | 2      |

$$\frac{1}{f_S(t^{-1})} = \frac{-2}{[2, 3, 4]} + \frac{-1}{[2, 2, 3]} + \frac{4}{[2, 3]} + \frac{2}{[2, 2]} + \frac{-4}{[2]} + 1.$$

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$$f_S(t) = \frac{(t+1)(t^2+1)(t^2+t+1)}{(t-1)(t^3+t-1)}.$$

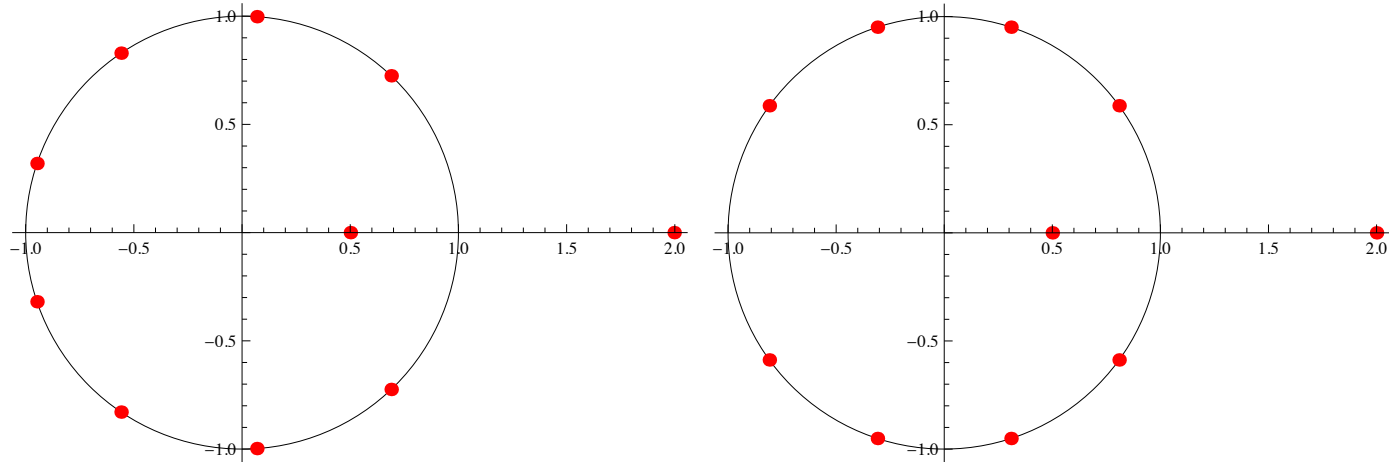
A real algebraic integer  $\tau > 1$  is called:

(1) a *Salem number* if  $\tau^{-1}$  is a conjugate of  $\tau$  and all conjugates of  $\tau$  other than  $\tau$  and  $\tau^{-1}$  lie on the unit circle. We assume also that **there exists a conjugate on the unit circle.**

(1') a *“Salem” number* if  $\tau^{-1}$  is a conjugate of  $\tau$  and all conjugates of  $\tau$  other than  $\tau$  and  $\tau^{-1}$  lie on the unit circle **(i.e. quadratic units are also “Salem” number).**



## QUIZ: Which is Salem or "Salem"?



A real algebraic integer  $\tau > 1$  is called:

(2) a *Pisot number* if all algebraic conjugates of  $\tau$  other than  $\tau$  lie in the open unit disk.

(3) a *Perron number* if all of whose conjugates have strictly smaller absolute values.

Theorem (Cannon-Wagreich 92, Parry 93)

The growth rates of cocompact 2 and 3-dimensional hyperbolic Coxeter groups are “Salem” numbers.

Theorem (Floyd 92)

The growth rates of cofinite 2-dimensional hyperbolic Coxeter groups are Pisot numbers.

Theorem (K. and Umemoto 2012)

The growth rates of cofinite 3-dimensional hyperbolic Coxeter groups with 4 and 5 generators (i.e. simplexes, pyramids and prisms) are Perron numbers.

## Remark

(1) Kellerhals and Perren (2011) observed **numerically** that many cocompact 4-dimensional hyperbolic Coxeter groups (including 5 and 6 generated groups) have Perron numbers as their growth rates.

(2) Kolpakov (2012) studied cofinite 3-dimensional hyperbolic Coxeter groups whose growth rates are Pisot numbers.

(3) Kellerhals (2011?) conjectured that every hyperbolic  $(W, S)$  has a Perron number as its growth rate. It seems to be a delicate problem heavily depending on the system of generators  $S$ :

An example of Machì:

$$G = \mathbf{Z}/2\mathbf{Z} * \mathbf{Z}/3\mathbf{Z}, S = \{a, b^{\pm}\}. \text{ Then}$$
$$f_S(t) = (1 + t)(1 + 2t)/(1 - t)(1 - 2t^2).$$

## 2. Cocompact 2 and 3-dimensional hyperbolic Coxeter groups

Theorem (Cannon-Wagreich 92, Parry 93)

The growth rates of cocompact 2 and 3-dimensional hyperbolic Coxeter groups are “Salem” numbers.

Proposition (Parry 93)

Let  $c_2, \dots, c_N \in \mathbf{N} \cup \{0\}$  be such that  $\sum_{n=2}^N \frac{n-1}{n} c_n > 2$ .  
Let  $R(x)$  be the rational function

$$R(x) = \frac{x+1}{x-1} + \sum_{n=2}^N c_n \frac{x-x^n}{(x-1)(x^n-1)} = \frac{P(x)}{Q(x)}$$

where  $P(x)$  and  $Q(x)$  are relatively prime  $\mathbf{Z}$ -polynomials.  
Then  $P(x)$  is a product of distinct irreducible cyclotomic polynomials with exactly one “Salem” polynomial.

Salem or “Salem”? (K. 2013)

dim=2: pentagon with angles  $\pi/2, \pi/4, \pi/4, \pi/4, \pi/4$

$$\begin{aligned} 1/f_S(x^{-1}) &= 1 + \frac{x - x^2}{(x + 1)(x^2 - 1)} + \frac{4(x - x^4)}{(x + 1)(x^4 - 1)} \\ &= \frac{(x^2 - 4x + 1)(x^2 + x + 1)}{(x + 1)^2(x^2 + 1)} \end{aligned}$$

$$f_S(x) = \frac{(x + 1)^2(x^2 + 1)}{(x^2 - 4x + 1)(x^2 + x + 1)}$$



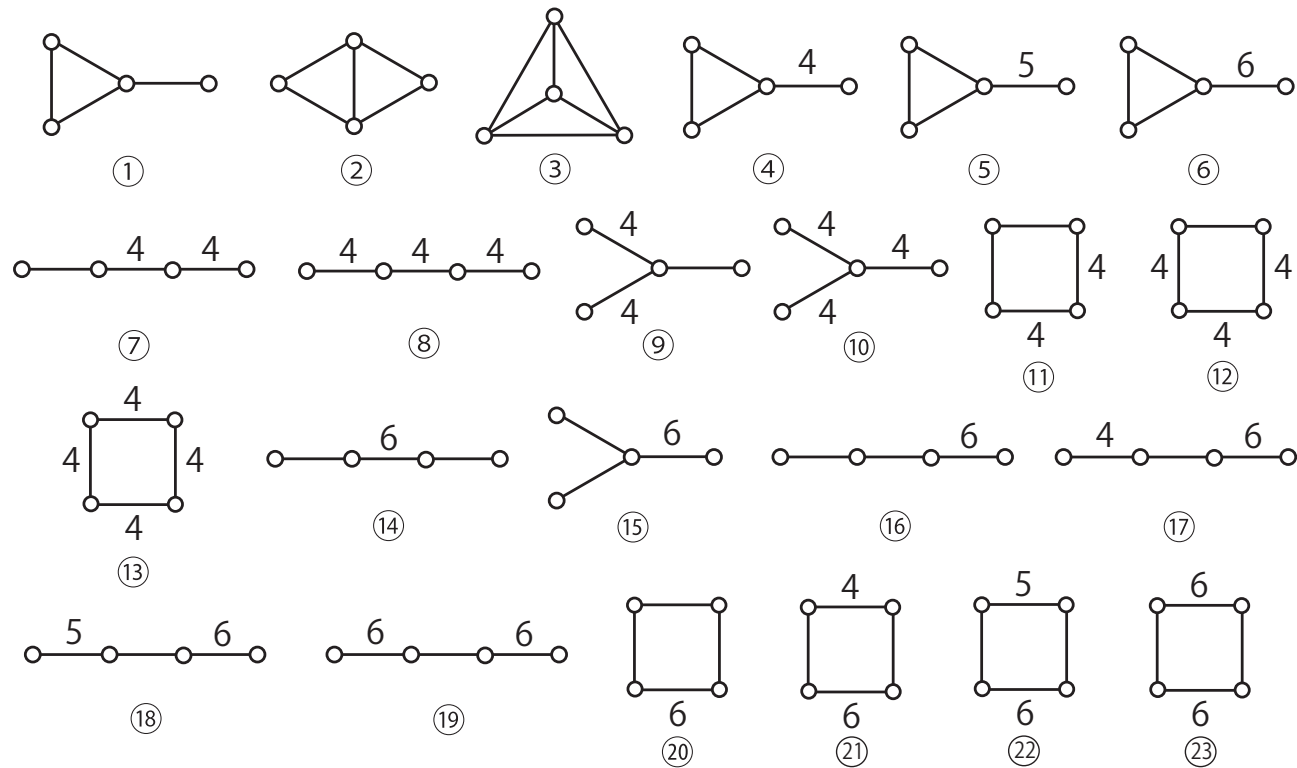
dim=3: Lambert cube

$$\begin{aligned} 1/f_S(x^{-1}) &= 1 - \frac{6}{x+1} + \frac{9}{(x+1)^2} + \frac{3}{(x+1)(x^3+x^2+x+1)} \\ &= \frac{(x+1)^3 - 6(x+1)^2 + 9(x+1) + 3(x+1)(x^3+x^2+x+1)}{(x+1)^3(x^3+x^2+x+1)} \\ &= \frac{(x-1)(x^2-3x+1)(x^2+x+1)}{(x+1)^3(x^2+1)} \end{aligned}$$

$$f_S(x) = \frac{(x+1)^3(x^2+1)}{(x-1)(x^2-3x+1)(x^2+x+1)}$$

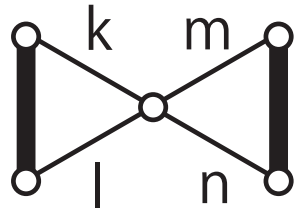
### 3. Cofinite 3-dimensional hyperbolic Coxeter groups

#### Classification of cofinite 3-dim. Coxeter simplexes (Lannér 50)



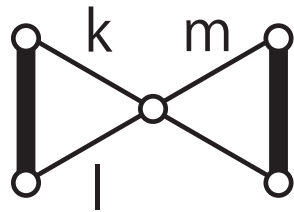
- $(t - 1)(t^4 + t^3 + t^2 + t - 1)$
- $(t - 1)(3t^2 + t - 1)$
- $(t - 1)(t^7 + t^6 + 2t^5 + 2t^4 + t^3 + t^2 - 1)$
- $(t - 1)(t^9 + t^7 + t^6 + t^4 + t^2 + t - 1)$
- $(t - 1)(2t^5 + t^4 + t^2 + t - 1)$
- $(t - 1)(t^7 + t^6 + t^5 + t^4 + t^3 - 1)$

Classification of cofinite 3-dim.Coxeter pyramids (Tumarkin 2004)



$$k = 2, 3, 4; \quad m = 2, 3, 4;$$

$$l = 3, 4; \quad n = 3, 4.$$



$$k = 5, 6; \quad m = 2, 3;$$

$$l = 2, 3, 4, 5, 6.$$

- $(k, l, m, n) = (2, 3, 2, 3) : (t-1)(t^5 + 2t^4 + 2t^3 + t^2 - 1)$
- $(2, 3, 2, 4) : (t-1)(t^7 + t^6 + 2t^5 + t^4 + 2t^3 + t - 1)$
- $(2, 3, 3, 3) : (t-1)(t^4 + 2t^3 + t^2 + t - 1)$
- $(2, 3, 3, 4) : (t-1)(t^7 + 2t^6 + 2t^5 + 2t^4 + 2t^3 + t^2 + t - 1)$
- $(2, 3, 4, 4) : (t-1)(t^5 + t^4 + t^3 + 2t - 1)$
- $(2, 4, 2, 4) : (t-1)(t^4 + 2t^3 + t^2 + t - 1)$

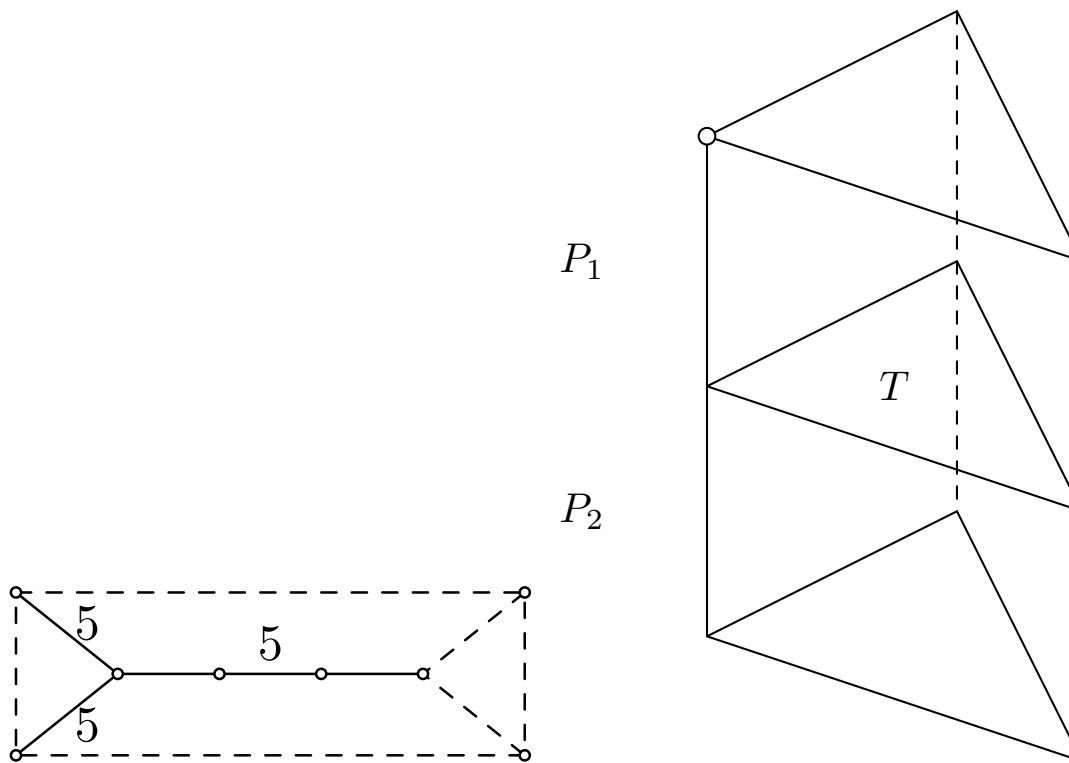
Proposition (K. and Umemoto 2012)

Consider the  $\mathbf{Z}$ -polynomial of degree  $n \geq 2$

$$g(t) = \sum_{k=1}^n a_k t^k - 1,$$

where  $a_k$  is a non-negative integer. We also assume that the greatest common divisor of  $\{k \in \mathbb{N} \mid a_k \neq 0\}$  is 1. Then there is a real number  $r_0$ ,  $0 < r_0 < 1$  which is the unique zero of  $g(t)$  having the smallest absolute value of all zeros of  $g(t)$ .

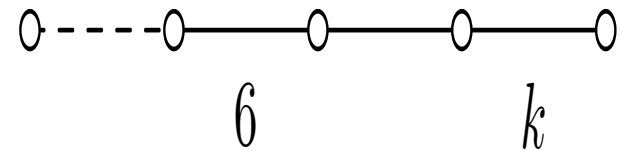
Classification of cofinite 3-dim. Coxeter prisms (Kaplinskaya 74)



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$P$ : a non-compact hyp. Coxeter prism with  $k \geq 7$ .

Then the growth function  $f_{P_1}(t)$  of  $P_1$  of the non-compact straight hyperbolic Coxeter prism  $P_1$  with Coxeter diagram



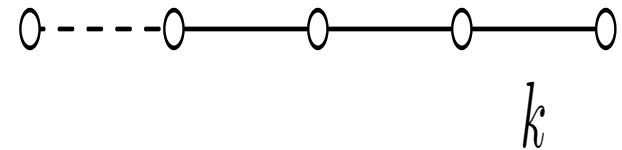
can be calculated as

$$\frac{(t+1)^3(t^2-t+1)(t^2+t+1)(t^{k-1}+\dots+t+1)}{(t-1)Q_1(t)}$$

where  $Q_1(t) = 2t^{k+4} + 3t^{k+3} + 4t^{k+2} + 5t^{k+1} + 6t^k +$   
 $\dots + 6t^6 + 5t^5 + 3t^4 + 2t^3 + t^2 - 1,$



while the growth function  $f_{P_2}(t)$  of the compact straight hyperbolic Coxeter prism  $P_2$  with Coxeter diagram



is equal to

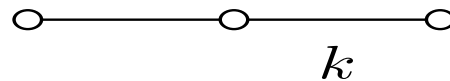
$$\frac{(t+1)^3(t^2+1)(t^2+t+1)(t^{k-1}+\dots+t+1)}{(t-1)Q_2(t)}.$$

where  $Q_2(t) = -t^{k+5} - t^{k+4} + 2t^{k+2} + 4t^{k+1} + 5t^k + \dots + 5t^5 + 4t^4 + 2t^3 - t - 1$ .

Now  $P$  is the “amalgam” of  $P_1$  and  $P_2$  along  $T$ , the growth function  $f_P(t)$  of  $P$  satisfies

$$\frac{1}{f_P(t)} = \frac{1}{f_{P_1}(t)} + \frac{1}{f_{P_2}(t)} - \left(\frac{1-t}{1+t}\right) \frac{1}{f_T(t)}$$

where  $f_T(t)$  is the growth function of the hyperbolic triangle  $T$  with Coxeter diagram



$$\frac{(t+1)^2(t^2+t+1)(t^{k-1} + \dots + t + 1)}{t^{k+3} + t^{k+2} - t^k - \dots - t^3 + t + 1}.$$

As a conclusion,  $f_P(t)$  of the prism  $P$  can be written as

$$\frac{(t+1)^3(t+1)^2(t^2-t+1)(t^2+t+1)(t^{k-1}+\dots+t+1)}{(t-1)Q(t)}$$

where

$$Q(t) = 2t^{k+6} + 4t^{k+5} + 7t^{k+4} + 10t^{k+3} + 12t^{k+2} + 14t^{k+1} \\ + 15t^k + \dots + 14t^7 + 12t^6 + 9t^5 + 6t^4 + 3t^3 + t^2 - 1.$$

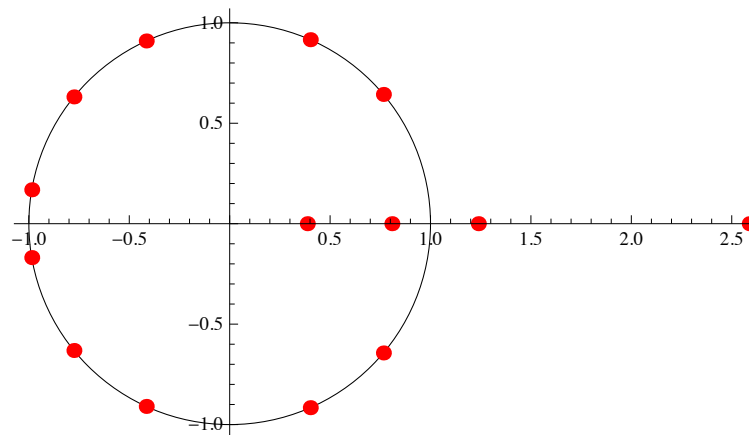
Theorem (K. and Umemoto 2012)

The growth rates of cofinite 3-dimensional hyperbolic Coxeter groups with 4 and 5 generators (i.e. simplexes, pyramids and prisms) are Perron numbers.

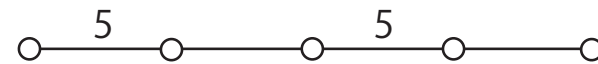
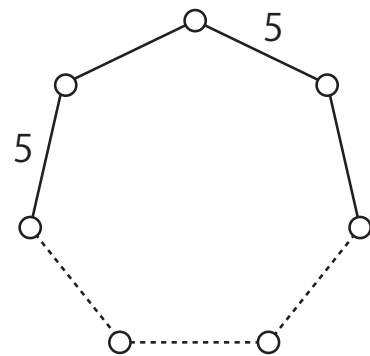
## 4. 2-Salem numbers as growth rates of 4-dim. Coxeter groups

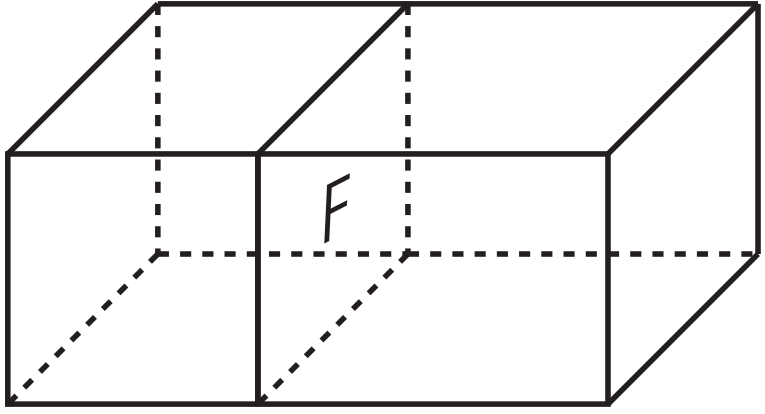
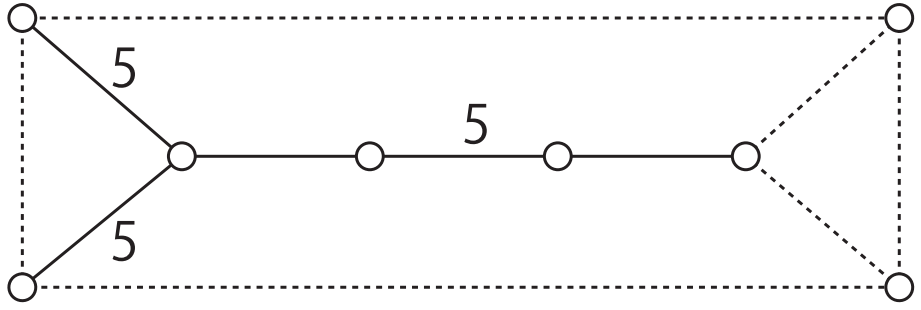
Definition (Samet 52, Kerada 95)

A real algebraic integer  $\alpha > 1$  is called a 2-Salem number if it has a real conjugate  $\beta > 1$  while other conjugates  $\omega$  satisfy  $|\omega| \leq 1$  and at least one of them is on the unit circle.



Coxeter garlands (T. Zehrt and C. Zehrt 2011)





$P_1$

$P_2$

$F$

Gluing formula (T. Zehrt and C. Zehrt)

Consider two Coxeter  $n$ -polytope  $P_1$  and  $P_2$  having the same orthogonal face  $F$  which is a Coxeter  $(n-1)$ -polytope, and let their growth functions be  $W_1(t)$ ,  $W_2(t)$  and  $F(t)$  respectively. Then the growth function  $W_1 *_{P_0} W_2(t)$  of the Coxeter polytope obtained by gluing  $P_1$  and  $P_2$  along  $F$  is given by

$$\frac{1}{W_1 *_{P_0} W_2(t)} = \frac{1}{W_1(t)} + \frac{1}{W_2(t)} + \left(\frac{t-1}{1+t}\right) \frac{1}{F(t)}$$

Let  $G_n$  be the Coxeter polytope constructed from  $n$  copies of  $G$  by  $(n-1)$ - gluings along orthogonal facets of  $G$ . Then the growth function of  $G_n$  is equal to  $[2, 2, 5, 6](t^5 + 1)/Z_n(t)$  where

$$Z_n(t) = t^{16} - 2(n + 1)t^{15} + t^{14} + (n - 1)t^{13} + t^{12} + nt^{11} \\ + (n - 1)t^{10} + 2t^9 + 2(n - 1)t^8 + 2t^7 + (n - 1)t^6 \\ + nt^5 + t^4 + (n - 1)t^3 + t^2 - 2(n + 1)t + 1.$$

They showed that  $Z_n(t)$  has 2 reciprocal pairs of positive real zeros and all the other zeros locate on the unit circle. Hence Coxeter garlands have “2-Salem” numbers as their growth rates.



Proposition (Kempner 35, T. Zehrt and C. Zehrt)

For  $f \in \mathbf{Z}[t]$  be a palindromic polynomial of even degree  $n \geq 2$  with  $f(\pm 1) \neq 0$ , define  $g(u) \in \mathbf{Z}[u]$  by

$$g(u) := (\sqrt{u} - i)^n f\left(\frac{\sqrt{u} + i}{\sqrt{u} - i}\right).$$

Then

(1)  $f(t)$  has  $2k$  zeros on the unit circle iff  $g(u)$  has  $k$  positive real zeros.

(2)  $f(t)$  has  $2\ell$  real zeros iff  $g(u)$  has  $\ell$  negative real zeros.

Proposition (K. 2013)

Denominator polynomials  $Z_n(t)$  are irreducible for any  $n \in \mathbf{N}$ . Hence Coxeter garlands have 2-Salem numbers as their growth rates.

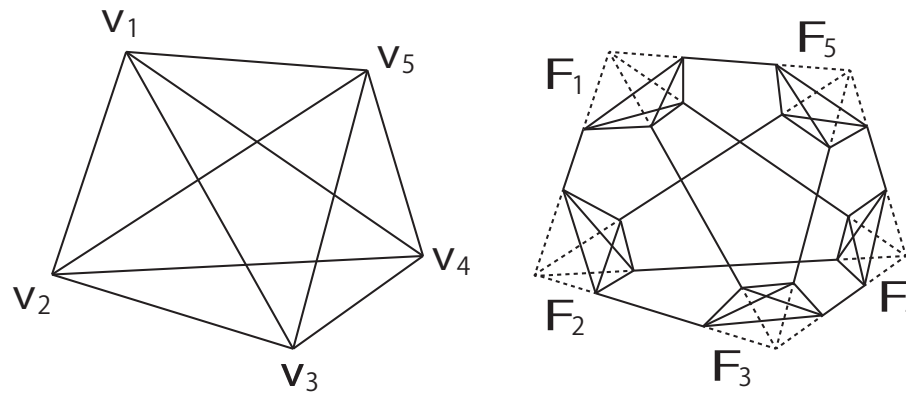
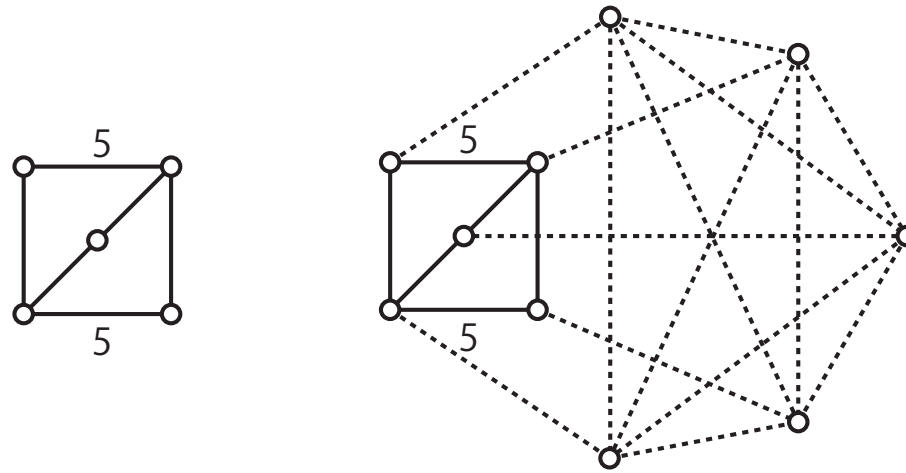
Key idea:  $Z_n(i) = 2$  for all  $n \in \mathbf{N}$ .

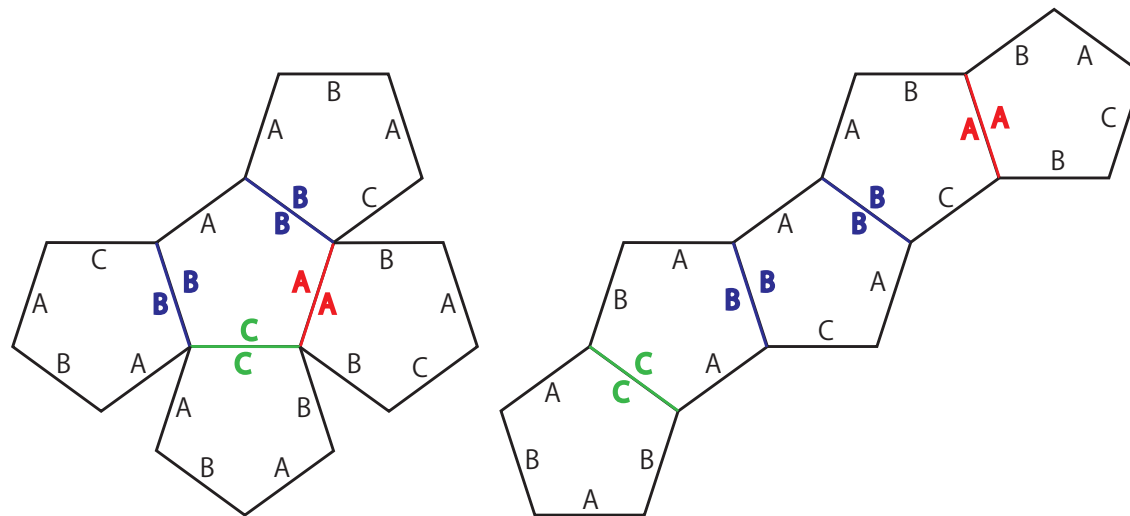
Suppose that  $Z_n(t)$  is reducible in  $\mathbf{Z}[t]$  as

$$(t^2 + pt + 1)(t^{14} + \dots + 1).$$

Then  $Z_n(i) = pi(a + bi) = 2$  implies that  $p = -2$  or  $p = -1$  which means  $t = 1$  or  $t = \frac{1 \pm \sqrt{3}i}{2}$  must be a solution of  $Z_n(t)$ , but  $Z_n(1) = 4n$ ,  $Z_n\left(\frac{1 \pm \sqrt{3}i}{2}\right) = (1 \mp \sqrt{3})(n + 1)$ , a contradiction.

# Coxeter dominoes (Yuriko Umemoto 2013)





Let  $D_{\ell,m,n}$  be the Coxeter polytope constructed from  $n + 1$  copies of  $D$  by  $\ell, m$  and  $\ell - m$ -times gluings along orthogonal facets of types A, B and C. Then the growth function of  $D_{\ell,m,n}$  is equal to  $[2, 4, 6, 10]/Q_{\ell,m,n}(t)$  where

$$\begin{aligned}
Q_{\ell,m,n}(t) = & t^{18} - (4n + 6)t^{17} + (2n - m + 3)t^{16} \\
& + (3n - m + \ell + 5)t^{15} - (n - 4m + 1)t^{14} - (n - 4m + 1)t^{13} \\
& + (8n - 4m + \ell + 9)t^{12} + (5m - \ell)t^{11} + (10n - 5m + \ell + 11)t^{10} \\
& - (2n - 6m + 2)t^9 + (10n - 5m + \ell + 11)t^8 + (5m - \ell)t^7 \\
& + (8n - 4m + \ell + 9)t^6 - (n - 4m + 1)t^5 - (n - 4m + 1)t^4 \\
& + (3n - m + \ell + 5)t^3 + (2n - m + 3)t^2 - (4n + 6)t + 1
\end{aligned}$$

She showed that the zeros of  $Q_{\ell,m,n}(t)$  are 2 reciprocal pairs of positive real zeros and the others locating on the unit circle. Hence Coxeter dominoes also have “2-Salem” numbers as their growth rates.

### Theorem (Umemoto 2013)

For any  $n \equiv 1 \pmod{3}$ , Denominator polynomials  $Q_{n,0,n}(t)$  and  $Q_{0,n,n}(t)$  are irreducible. Hence these Coxeter dominoes have 2-Salem numbers as their growth rates.

### Final remarks

1. In general cocompact 4-dim hyp. Coxeter groups have not 2-Salem numbers as their growth rates.
2. There are notions of  $j$ -Salem or  $j$ -Pisot numbers (due to Samet and Kerada)